

Please type a plus sign (+) inside this box → **[+]**

Approved for use through 10/31/2002, OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (08-00)

MAR 18 2002

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

MAR 26 2002

TECH CENTER 1600/2000

Total Number of Pages in This Submission

Application Number 09/081,522

Filing Date 5/19/1998

First Named Inventor Brooks

Group Art Unit 1644

Examiner Name P. Gambel

Attorney Docket Number TSRI 419.0 Con 1

RECEIVED

**ENCLOSURES (check all that apply)**

Fee Transmittal Form  
 Fee Attached  
 Amendment / Reply  
 After Final  
 Affidavits/declaration(s)  
 Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement  
 Certified Copy of Priority Document(s)  
 Response to Missing Parts/ Incomplete Application  
 Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers (for an Application)  
 Drawing(s)  
 Licensing-related Papers  
 Petition  
 Petition to Convert to a Provisional Application  
 Power of Attorney, Revocation Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s) \_\_\_\_\_

After Allowance Communication to Group  
 Appeal Communication to Board of Appeals and Interferences  
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter  
 Other Enclosure(s) (please identify below):  
- postcard

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm  
or  
Individual name

Emily Holmes

Signature

*Emily Holmes*

Date

3/6/2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **3/6/2002**

Typed or printed name

Ariel-Fletcher

Signature

*Ariel Fletcher*

Date

3/6/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known	
Application Number	09/081,522
Filing Date	5/19/1998
First Named Inventor	Brooks
Examiner Name	P. Gambel
Group Art Unit	1644
Attorney Docket No.	TSRI 419.0 Con 1

METHOD OF PAYMENT			
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:			
Deposit Account Number	19-0962		
Deposit Account Name			
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
2. <input type="checkbox"/> Payment Enclosed:			
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity	Small Entity		
Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee	<input type="checkbox"/>
106 330	206 165	Design filing fee	<input type="checkbox"/>
107 510	207 255	Plant filing fee	<input type="checkbox"/>
108 740	208 370	Reissue filing fee	<input type="checkbox"/>
114 160	214 80	Provisional filing fee	<input type="checkbox"/>
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES			
Total Claims	-20** = <input type="checkbox"/> X <input type="checkbox"/> = <input type="checkbox"/>		
Independent Claims	- 3** = <input type="checkbox"/> X <input type="checkbox"/> = <input type="checkbox"/>		
Multiple Dependent	<input type="checkbox"/> = <input type="checkbox"/>		
Large Entity	Small Entity		
Fee Code (\$)	Fee Code (\$)		
103 18	203 9	Claims in excess of 20	<input type="checkbox"/>
102 84	202 42	Independent claims in excess of 3	<input type="checkbox"/>
104 280	204 140	Multiple dependent claim, if not paid	<input type="checkbox"/>
109 84	209 42	** Reissue independent claims over original patent	<input type="checkbox"/>
110 18	210 9	** Reissue claims in excess of 20 and over original patent	<input type="checkbox"/>
SUBTOTAL (2) (\$)			

\*\*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)			
3. ADDITIONAL FEES			
Large Entity	Small Entity		
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	<input type="checkbox"/>
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/>
139 130	139 130	Non-English specification	<input type="checkbox"/>
147 2,520	147 2,520	For filing a request for ex parte reexamination	<input type="checkbox"/>
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	<input type="checkbox"/>
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	<input type="checkbox"/>
115 110	215 55	Extension for reply within first month	<input type="checkbox"/>
116 400	216 200	Extension for reply within second month	<input type="checkbox"/>
117 920	217 460	Extension for reply within third month	<input type="checkbox"/>
118 1,440	218 720	Extension for reply within fourth month	<input type="checkbox"/>
128 1,960	228 980	Extension for reply within fifth month	<input type="checkbox"/>
119 320	219 160	Notice of Appeal	<input type="checkbox"/>
120 320	220 160	Filing a brief in support of an appeal	<input type="checkbox"/>
121 280	221 140	Request for oral hearing	<input type="checkbox"/>
138 1,510	138 1,510	Petition to institute a public use proceeding	<input type="checkbox"/>
140 110	240 55	Petition to revive - unavoidable	<input type="checkbox"/>
141 1,280	241 640	Petition to revive - unintentional	<input type="checkbox"/>
142 1,280	242 640	Utility issue fee (or reissue)	<input type="checkbox"/>
143 460	243 230	Design issue fee	<input type="checkbox"/>
144 620	244 310	Plant issue fee	<input type="checkbox"/>
122 130	122 130	Petitions to the Commissioner	<input type="checkbox"/>
123 50	123 50	Processing fee under 37 CFR 1.17(q)	<input type="checkbox"/>
126 180	126 180	Submission of Information Disclosure Stmt	<input type="checkbox"/>
581 40	581 40	Recording each patent assignment per property (times number of properties)	<input type="checkbox"/>
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="checkbox"/>
179 740	279 370	Request for Continued Examination (RCE)	<input type="checkbox"/>
169 900	169 900	Request for expedited examination of a design application	<input type="checkbox"/>
Other fee (specify) _____		SUBTOTAL (3) (\$)	
*Reduced by Basic Filing Fee Paid		110.00	

RECEIVED  
TECH CENTER  
MAR 26 2002  
16004900

SUBMITTED BY		Complete if applicable	
Name (Print/Type)	Emily Holmes	Registration No. (Attorney/Agent)	40,652 Telephone (858) 784-2937
Signature	<i>Emily Holmes</i>	Date	3/6/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.